

Solutions: Hedge Fund Investor Accreditation

Under the JOBS Act, hedge funds that advertise now need to make certain that all of their investors are “accredited.” For hedge funds, the days of once-over-lightly “check-the-box” due diligence on their investors are over.

Charles Griffin offers funds a variety of services to make sure that funds are in compliance with forthcoming SEC rules that govern due diligence.

Below is a list of our accreditation due diligence services:

INFORMATION PROVIDED	GOLD LEVEL	SILVER LEVEL	BRONZE LEVEL
	TIMING: 1 Week COST: \$2000 per Individual	TIMING: 2 Business Days COST: \$1000 per Individual	TIMING: 1 Business Day COST: \$450 per Individual
Database searches as to addresses, property ownership, company ownership and possible places of employment	✓	✓	✓
Media and news search	✓	✓	✓
Record of online UCCs, liens and bankruptcy records	✓	✓	✓
Personal and company Internet website analysis	✓	✓	
Social media profile	✓	✓	
Search for lead party in federal litigation	✓	✓	
Search for named party in federal litigation	✓		
On-site court searches in pre-determined state or county locations for all relevant available public records on file	✓		
Form 3, 4 and 5 securities filings	✓	✓	
All relevant U.S. securities filings	✓		
NFA and FINRA records	✓		
Professional licenses	✓		